

Robert Greene Sterne Edward J. Kessler Jorge A. Goldstein David K.S. Cornwell Robert W. Esmond Tracy-Gene G. Durkin Michael B. Ray Robert E. Sokohl Eric K. Steffe Michael Q. Lee Steven R. Ludwig John M. Covert Linda E. Alcom Robert C. Millonig Lawrence B. Bugaisky Donald J. Featherstone Michael V. Messinger Judith U. Kim Timothy J. Shea, Jr. Patrick E. Garrett Heidi L. Kraus Edward W. Yee Albert L. Ferro* Donald R. Banowit Peter A. Jackman Molly A. McCall Teresa U. Medler Jeffrey S. Weaver Kendrick P. Patterson Vincent L. Capuano Eldora Ellison Floyd Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Kimberly N. Reddick Theodore A. Wood Elizabeth J. Haanes Joseph S. Ostroff Frank R. Cottingham Christine M. Lhulier Rea Lynn Prengaman Jane Shershenovich' Lawrence J. Carroll' George S. Bardmesser Daniel A. Klein' Jason D. Eisenberg Michael D. Specht Andrea J. Kamage Tracy L. Muller' LuAnne M. Yuricek' John J. Figueroa' John J. Figueroa' John J. Figueroa'

Aric W. Ledford*

Registered Patent Agents*
Karen R. Markowicz
Nancy J. Leith
Helene C. Carlson
Gaby L. Longsworth
Matthew J. Dowd
Aaron L. Schwartz
Mary B. Tung
Katrina Y. Pei Quach
Bryan L. Skelton
Robert A. Schwartzman
Timothy A. Doyle
Jennifer R. Mahalingappa
Teresa A. Colella
Jeffrey S. Lundgren

Victoria S. Rutherford Eric D. Hayes

Of Counsel Kenneth C. Bass III Evan R. Smith

*Admitted only in Maryland *Admitted only in Virginia •Practice Limited to Federal Agencies

February 23, 2004

WRITER'S DIRECT NUMBER: (202) 772-8641 INTERNET ADDRESS: HCARLSON@SKGF.COM

Art Unit 1644

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/458,299; Filed: December 10, 1999

For: Inducing Cellular Immune Responses to HER2/neu Using Peptide and

Nucleic Acid Compositions

Inventors:

FIKES et al.

Our Ref:

2060.0140000/HCC/J-H

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Reply to Restriction Requirement; and
- 2. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Helene C. Carlson Agent for Applicants

Registration No. 47,473

Wellenellarler

Enclosures

231653v1

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skgf.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES et al.

Appl. No.: 09/458,299

Filed: December 10, 1999

For: Inducing Cellular Immune Responses to HER2/neu Using Peptide and Nucleic Acid

Compositions

Confirmation No.: 8698

Art Unit: 1644

Examiner: DIBRINO, Marianne

Atty. Docket: 2060.0140000/HCC/J-H

Reply to Requirement for Election of Species

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In reply to the Office Action dated January 22, 2004 requesting several elections of species, Applicants hereby provisionally elect:

- (a) isolated peptides that are 9 amino acids in length (claims 44, 62 and 79 are readable thereon),
- (b) one or more different peptides, i.e., a heteropolymer (claims 51, 71 and 88 are readable thereon),
- (c) a composition further comprising one or more different peptides (claims 54-58, 75 and 92 are readable thereon),
- (d) one or more different peptides form a fusion peptide (claims 55 and 57 are readable thereon)
- (e) the peptide KVFGSLAFV (SEQ ID NO:4233) (as previously elected) (claims 59-75 are readable thereon).

Claim 41 is generic. Applicants assert the right to claim additional species in the event that a generic claim thereto is found to be allowable in accordance with 37 C.F.R. § 1.141(a).

All of the above-listed elections are made without traverse.

The above-listed elections of species are made without prejudice to or disclaimer of the other claims or inventions disclosed. Consideration and allowance of all pending claims is respectfully requested.

It is not believed that extensions of time are required, beyond those that may otherwise be provided for in accompanying documents. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

Ubelenellarlen

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Helene C. Carlson Agent for Applicants

Registration No. 47,473

Date: February 23, 2004

1100 New York Avenue, N.W. Washington, D.C. 20005-3934 (202) 371-2600

230722v1